

NORTH CAROLINA  
COUNTY OF ROWAN

IN THE GENERAL COURT OF JUSTICE  
DISTRICT COURT DIVISION  
FILE NO. \_\_\_\_\_

_____, Plaintiff
v.
_____, Defendant

**CERTIFICATION OF INITIAL  
DISCLOSURE (EQUITABLE  
DISTRIBUTION)**

**INSTRUCTIONS: Unless specifically stated otherwise, the documents to be provided shall be for the full calendar year preceding the date of separation through the date of service of the initial disclosures.**

**After the initial disclosures are made, each party has a continuing duty to amend or supplement the original documentation if there has been a change in the form or substance of any of the original information provided.**

**Documents are considered to be in the custody or control of the party if such documents can be obtained by him or her if the party is a joint title or account holder, or if the documents were prepared by a third party (i.e., CPA, accountant, bookkeeper, etc.) at his or her direction or on his or her behalf whether individually or jointly with another.**

**If any of the documents required below have not been provided, in the space following each item you must give a detailed explanation of why the documents are not being provided and indicate what good cause exists for not providing the documents as required.**

1. I am the  Plaintiff  Defendant in this action. I have provided to the opposing party/counsel all of the documents listed below which are in my custody or control (check all that apply):

Real Property. All deeds pertaining to any real property in which the party claims a legal or equitable interest during the marriage and owned on the date of separation, whether or not currently owned on the date of service of the Initial Disclosures.

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Retirement Plans. All documents showing date of separation and current values pertaining to any defined contribution plan, any defined benefit plan, pension plan, SEP, IRA, Keogh, retirement, profit-sharing plan or other deferred compensation or retirement plan in which the party claims a legal or equitable interest during the marriage and owned on the date of separation, whether or not currently owned on the date of service of the initial disclosures, including, but not limited to account statements, plan descriptions, benefit statements, and

account valuations. If participant in plan on date of marriage, all documents showing date of marriage balance/benefits.

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- [ ] Insurance. Statements of cash value of any life insurance in policies as of date of separation and currently which the party claims a legal or equitable interest on the date of separation.

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- [ ] Debt. All statements (current and date of separation) pertaining to any secured or non-secured debt for which the party claims the opposing party has a legal or equitable obligation on the date of separation, including, but not limited to, consumer credit account (credit card) statements, installment debt payment vouchers, mortgages, equity lines, promissory notes, and account statements.

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- [ ] Stocks, Stock Options, Bonds. All documents showing date of separation and current values and holdings pertaining to any stocks, stock options or bonds in which the party claims a legal or equitable interest during the marriage and owned on the date of separation, whether or not currently owned on the date of service of the initial disclosures, including, but not limited to investment account statements, company stock plan descriptions or statements, stock options or bonds documents.

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- [ ] Financial Accounts. All date of separation and current statements pertaining to any checking, savings or other financial account in which the party claims a legal or equitable interest during the marriage and owned on the date of separation, whether or not currently owned on the date of service of the initial disclosures, including, but not limited to, monthly account statements and check registers.

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- [ ] Individual Tax Returns. Complete copies of signed and filed individual federal and state tax returns filed by the party or on the party's behalf for the year of the date of separation and

most recent year, whether filed individually or jointly with another, including all schedules and attachments (W-2 forms, 1099 forms, etc.) together with all year-end documentation (i.e., W-2 forms, 1098 forms, 1099 forms, extension requests, etc.). For the most recent tax year in the event the tax return or returns have yet to be filed, or if any of the last 5 years tax returns have not been filed, any requests for extension of time to file and correspondence with the taxing agency.

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- [ ] Business Tax Returns and Financial Statements. Copies of the signed and filed corporate, partnership, or LLC federal and state tax returns including all schedules and attachments for the year of the date of separation and most recent if the party has or had an interest of 5% or greater, as a shareholder in a closely held corporation, partner in a partnership, member of a limited liability company or joint venture during the marriage and owned on the date of separation, whether or not currently a shareholder, partner or member on the date of service of the Initial Disclosures. In addition, provide the most recent financial statements (profit, loss and balance sheets).
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- [ ] Personal Financial Statements. All personal financial statements provided to any financial institution in the last three (3) calendar years preceding the date of separation.
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- [ ] Appraisals/Valuations. All opinions of value or appraisals in the preceding two years of any real or personal property acquired by the parties during the marriage and owned on the date of separation.
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- [ ] Bills of Sale. All bills of sale or other documentation of real or personal property sold or transferred in the 12 months preceding the date of separation or after the date of separation through the date of service of the certification of initial disclosures.
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Income. Documents showing all income from all sources since the party's last filed tax return.

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2. CERTIFICATION: I,  the Plaintiff  the Defendant in this action, hereby certify that all of the documents as indicated above and required by Rule 4 of the 19C Judicial District Local Court Rules have been disclosed and provided to the opposing party/counsel. I further certify that if good cause exists for not providing certain documents, I have given a detailed explanation of such good cause in the space provided above.

This the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
Signature of Certifying Party

Plaintiff

Defendant

SERVE CERTIFICATION AND DOCUMENTS ON OPPOSING PARTY – FILE ONLY CERTIFICATION (NOT DOCUMENTS) WITH COURT.

**CERTIFICATE OF SERVICE**

I hereby certify that a copy of this Certification of Initial Disclosure (Equitable Distribution) has been served in the following manner:

By depositing a copy in the US Mail in a properly addressed, postpaid envelope to:

By hand delivery to \_\_\_\_\_

Other: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
 Plaintiff                       Attorney for Plaintiff  
 Defendant                       Attorney for Defendant