

*Application Guidelines for an*  
**INTESTATE FULL ESTATE**  
**APPLICATION FOR LETTERS OF ADMINISTRATION**

**This packet contains the following forms:**

- **Application for Letters of Administration with Instruction Sheet (AOC-E-202)**
- **Oath/Affirmation (AOC-E-400)**
- **Appointment of Resident Process Agent (AOC-E-500)**
- **Waiver of Personal Representative's Bond (AOC-E-404)**
- **Family History Affidavit**
- **Bond (AOC-E-401)**
- **Renunciation of Right to Qualify (AOC-E-200)**

➤ NOTE: Additional forms may be required to begin the qualification process and will be determined based upon the circumstances.

**READ FORMS CAREFULLY AS THEY CONTAIN INSTRUCTIONS AND INFORMATION NECESSARY IN THIS PROCESS.**

**ONLINE RESOURCES**

- General Information about the [Estate Administration Process](#)
- Estates Division YouTube [Video Tutorials](#)
- NC Courts [Guide & File](#) Service
- Estates Division Appointment Calendar ([Click here](#) to view availability and reserve an appointment.)

**Application for Letters of Administration is available when...**

A personal representative wishes to be appointed over an estate when there is no will or when the will cannot be probated.

**✪ STEPS FOR QUALIFICATION...**

1. Fill out the Application for Letters of Administration (AOC-E-202)\*
2. Heirs must be listed on the form with the full names and addresses
3. Oath form (AOC-E-400)\*
4. Death Certificate
5. Court Filing Fee \$120 (Acceptable forms of payment: Certified check or money order payable to “**Clerk of Superior Court.**”) **PERSONAL CHECKS ARE NOT ACCEPTED**
6. If you are *not* a North Carolina resident, complete the Resident Process Agent form (AOC-E-500) appointing a North Carolina resident who will act as your representative for Court service. **The appointed agent must sign the document in front of a notary.**
7. Renunciation of Right to Qualify (AOC-E-200) This form is used to allow heirs to renounce their interest in qualifying to handle the estate, but not their interest in the assets of the estate
8. Bond -Corporate Surety (AOC-E-401)\* – Required to be posted based upon asset value according to NC statute. Bonds can be waived in certain circumstances.

**\*This document must be signed in the presence of a notary.**

**EXPLANATION OF TERMS:**

- |   |  |
|---|--|
| <ul style="list-style-type: none"><li>• <b>Decedent:</b> Individual who passed away</li><li>• <b>Applicant:</b> Someone who is applying for the position of personal representative (administrator/administratrix)</li><li>• <b>Intestate:</b> The decedent died without leaving a will.</li><li>• <b>Testate:</b> The decedent died leaving a Last Will &amp; Testament.</li><li>• <b>Heir:</b> A person who inherits or is entitled by law or by the terms of a will to inherit the estate of another</li><li>• <b>Bond/Corporate Surety:</b> Written guaranty or pledge which is purchased from a bonding company (usually an insurance firm) to guarantee some form of performance. If there is a failure, the bonding company will make good up to the amount of the bond.</li></ul> | <ul style="list-style-type: none"><li>• <b>Qualification:</b> The possession by an individual of the qualities, properties, or circumstances which render him/her eligible to perform a duty or function.</li><li>• <b>Oath:</b> A form of attestation by which a person signifies that he/she is bound in conscience to perform an act faithfully and truthfully.</li><li>• <b>Renunciation:</b> The act of voluntarily declining to take up the duties of the office of administrator/administratrix to handle the distribution of estate assets.</li><li>• <b>Resident Process Agent:</b> The North Carolina resident selected by the out-of-state applicant to accept mail and other service of process regarding estate matters</li></ul> |
|---|--|

**Completed filings may be dropped off during normal business hours at:**

Mecklenburg County Courthouse, 832 E. 4<sup>th</sup> Street, Charlotte NC 28202

**You may also mail completed filings to:**

Clerk of Superior Court, Estates Division, PO Box 37971, Charlotte NC 28237

Estates Phone Number: 704-686-0460    Estates E-Mail: [mecklenburg.estates@nccourts.org](mailto:mecklenburg.estates@nccourts.org)

## File No.

\_\_\_\_\_ County

## APPLICATION FOR LETTERS OF ADMINISTRATION

G.S. 28A-6-1, 28A-12-4

Place Of Death (if different from County Of Domicile)

Name, Street Address, P.O. Box, City, State, And Zip Code Of Co-Applicant

Telephone No.	
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Legal Residence (County, State)
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Attorney Bar No.

Telephone No.

1. The decedent was domiciled in this county at the time of the decedent's death, or left property or assets in this county, or was a nonresident motorist who died in North Carolina; ☐ no other proceeding for probate or for administration is pending in any jurisdiction.

2. ☐ a. I am the person entitled to apply for letters or am applying after all persons having prior right to apply have renounced.  
☐ b. I am applying subject to G.S. 28A-6-2(1) and move that all necessary notices be issued.  
☐ c. I am the public administrator appointed by the Court.
3. I am not disqualified pursuant to G.S. 28A-4-2 to administer the estate and have not renounced my right to do so.
4. After diligent inquiry, I have determined that the persons listed below are all the persons entitled to share in the decedent's estate.  
*(If there is a court-appointed guardian for any such person(s), list the guardian's name and address on an attachment.)*

[illegible]

AOC-E-202, Rev. 3/16  
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**PRELIMINARY INVENTORY**

(Give values as of date of decedent's death. Continue on separate attachment if necessary.)

**PART I. PROPERTY OF THE ESTATE**

		Est. Market Value
1. Accounts in sole name of decedent (List bank, etc., each account no., and balance.)		\$
2. Joint accounts <b>without</b> right of survivorship (List bank, etc., each account no., balance, and joint owners.)		
	% Owned By Decedent	
	% Owned By Decedent	
	% Owned By Decedent	
	% Owned By Decedent	
3. Stocks/bonds/securities in sole name of decedent or jointly owned <b>without</b> right of survivorship	% Owned By Decedent	
4. Cash and undeposited checks on hand		
5. Household furnishings		
6. Farm products, livestock, equipment, and tools		
7. Vehicles		
8. Interests in partnership or sole proprietor businesses		
9. Insurance, Retirement Plans, IRAs, annuities, etc., payable to Estate		
10. Notes, judgments, and other debts due decedent		
11. Miscellaneous personal property		
12. Estimated annual income of Estate		
(Base bond on this amount, if applicable.) <b>TOTAL PART I.</b>		\$

**PART II. PROPERTY WHICH CAN BE ADDED TO ESTATE IF NEEDED TO PAY CLAIMS**

1. Joint accounts with right of survivorship (List bank, etc., each account no., balance, and joint owners.)	\$
2. Stocks/bonds/securities registered in beneficiary form and immediately transferred on death or jointly owned with right of survivorship	
3. Other personal property recoverable (G.S. 28A-15-10)	
4. Real estate owned by decedent and not listed elsewhere	
<b>TOTAL PART II.</b>	\$

**PART III. OTHER PROPERTY**

1. There <input type="checkbox"/> is <input type="checkbox"/> is not entireties real estate owned by decedent and spouse.	
2. There <input type="checkbox"/> are <input type="checkbox"/> are not Insurance, Retirement Plans, IRAs, annuities, etc., payable to named beneficiaries.	
3. There <input type="checkbox"/> is <input type="checkbox"/> is not a potential claim for wrongful death arising under G.S. 28A-18.2.	

Signature Of Applicant

Signature Of Co-Applicant

**SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME****SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME**

Date	Signature Of Person Authorized To Administer Oaths	Date	Signature Of Person Authorized To Administer Oaths
<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC	<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC
<input type="checkbox"/> Clerk Of Superior Court		<input type="checkbox"/> Clerk Of Superior Court	
<input type="checkbox"/> Notary	Date Commission Expires	Date Commission Expires	<input type="checkbox"/> Notary
<b>SEAL</b>	County Where Notarized	County Where Notarized	<b>SEAL</b>

**INSTRUCTIONS FOR PRELIMINARY INVENTORY  
ON SIDE TWO OF APPLICATION FOR LETTERS OF ADMINISTRATION,  
FORM AOC-E-202, REV. 4/11**

**THE CLERK IS THE JUDGE OF PROBATE AND CANNOT PRACTICE LAW OR GIVE LEGAL ADVICE. ACCORDINGLY, THE CLERK'S STAFF CANNOT HELP YOU FILL OUT THIS FORM. PARTS OF THIS FORM ARE SELF-EXPLANATORY. HOWEVER, FOR ANY NECESSARY ASSISTANCE, YOU SHOULD CONSULT AN ATTORNEY.**

**Application For Letters Of Administration, Form AOC-E-202, Rev. 7/06**

If the decedent died without a will, a person authorized under G.S. 28A-4-1 may qualify to administer the estate by applying to the Clerk of Superior Court using this form. Side two of this form contains a preliminary listing of the assets of the estate. This part of the form is intended as a preliminary report to the clerk, heirs and creditors of the nature and probable value of the property, real and personal, wherever located, owned by the decedent as of the date of death.

**General Instructions:**

Type or print neatly in **black ink**.

All values reported should be the **fair market value** of the item **as of the date of death**. If there is not sufficient space on this form, continue on a separate attachment.

Except where instructed to itemize, you should report in a lump sum the estimated total value of all property in each category. A complete itemization and valuation of decedent's property must be listed on the Inventory Form (AOC-E-505) and filed with the clerk within three months after qualifying.

- I "Account" includes accounts in banks, savings and loans and other financial institutions, including money market accounts with brokerage houses or similar institutions.
- I "Joint Account With Right Of Survivorship" is an account in the name of two or more persons in which the deposit agreement (1) is signed by all parties and (2) expressly provides that, upon the death of one of the joint depositors, the interest of the decedent passes to the survivor(s). Any joint account which is not "with right of survivorship" is a joint account **without** right of survivorship.
- I "Stocks Or Bonds With Right Of Survivorship" are securities in which the certificate clearly states that upon the death of one of the joint owners the interest of the decedent passes to the survivor(s). Any jointly owned security which is not owned "with right of survivorship," is owned **without** right of survivorship.
- I "Securities Registered In Beneficiary Form" means stocks, bonds, or other securities officially registered with the issuer of the security indicating the current owner of the security and the person who will automatically become the new owner of the security upon the death of the owner." (See G.S. 41-40 et. seq.)

**PART I. PROPERTY OF THE ESTATE**

1. Deposits In Sole Name Of Decedent - For each account, list the name of the institution, the account number and the balance on the date of death.
2. Joint Accounts Without Right Of Survivorship - For each account, list the name of the institution, the account number, and the name(s) of the other joint owner(s). If the percentage owned by the decedent can be determined, report that percentage and the value of that percentage on deposit on the date of death. If the percentage owned by the decedent is unclear, report the percentage as 100%, and list the total amount on deposit on the date of death. A copy of the signature card or depository contract should be attached either to this form or the Inventory (AOC-E-505.)
3. Stocks And Bonds In Sole Name Of Decedent Or Jointly Owned Without Right Of Survivorship - If the percentage owned by the decedent can be determined, report that percentage and the value, in a lump sum, of that percentage. If the percentage owned is unclear, report the percentage as 100%, and list the total value, in a lump sum, of all such stocks and bonds. A detailed itemization of these assets must be reported in the Inventory (AOC-E-505).
4. through 7. These categories should be self-explanatory.
8. Interest In Partnership Or Sole Proprietor Businesses - Report all solely owned business interest and all partnerships in which the decedent was a general or limited partner. List the name of the business or partnership, the names of the surviving partners, the decedent's percentage interest in that partnership, and the value of that partnership interest or business.
9. through 11. These categories should be self-explanatory.
12. Estimated Annual Income Of The Estate - Income of the estate includes, for example, interest on checking and other accounts opened in the name of the estate, dividends and interest on stocks and bonds owned in the name of the estate, and other income to the estate. Income of the estate does not include interest on accounts, or dividends or interest on stocks or bonds, which pass directly to a surviving joint owner.

## PART II. PROPERTY WHICH CAN BE ADDED TO ESTATE IF NEEDED TO PAY CLAIMS

This part of the form is used to list certain kinds of property which the decedent owned or in which the decedent had an interest during his or her life time, which are not ordinarily part of the estate, but which may be recovered by the personal representative if the assets of the estate are not sufficient to pay all the debts of the decedent and claims against the estate. (**NOTE:** *The personal representative should NOT receive or disburse any personal property in this category prior to meeting all statutory requirements for bonds or bond increases.*)

1. Joint Accounts With Right Of Survivorship Under G.S.41-2.1 - List all joint accounts with right of survivorship. For each account, list the name of the financial institution, the account number, the names of the other joint owners, and the total balance on the date of death. Attach a copy of the signature card or depository contract of each such account to this form or to your Inventory (AOC-E-505.)
2. Stocks/Bonds/Securities Registered In Beneficiary Form or Jointly Owned With Right Of Survivorship - A lump sum total of the value of all such stocks or bonds should be reported here. A detailed itemization of these assets must be reported in the Inventory (AOC-E-505). It also includes securities registered in beneficiary form and immediately transferrable on death.
3. Other Personal Property Recoverable Under G.S. 28A-15-10 - This category includes accounts which are called "Payable On Death or Trustee Accounts" in the signature card or deposit agreement or in which the decedent otherwise established a "Tentative" or "Totten" trust. It also includes property which the decedent gave to someone in contemplation of his or her own death, and property transferred by the decedent, without receiving adequate consideration, with the intent to hinder, delay or defraud his or her creditors. If you believe there may be any property which falls into these categories, you may wish to consult an attorney.
4. Real Estate Owned By The Decedent And Not Listed Elsewhere - (**NOTE:** *Real estate owned by the decedent and spouse as tenants by the entirety should be reported in Part III. Do not report real estate in which the decedent had an interest only for his or her lifetime.*) All other interests in real estate owned by the decedent should be reported here in a lump sum using fair market values as of date of death. A more detailed listing and identification of the properties should be made in the Inventory (AOC-E-505).

## PART III. OTHER PROPERTY

This part of the form is used to include certain property, rights and claims which are not administered by the personal representative as part of the decedent's estate and which the personal representative can not generally recover to pay debts of the decedent or claims against the estate. However, this property may be included in the value of the "estate" for federal or state estate and inheritance tax purposes, or which are listed for the information of heirs and others to whom the property may pass.

1. Entireties Real Estate - Indicate whether or not there is real estate jointly owned by the decedent and his or her surviving spouse as tenants by the entireties.
2. Insurance, Retirement Plan, IRA, Etc., Payable To Persons Other Than the Estate - This category includes all life insurance proceeds, death benefits under pension and retirement plans, and the balance remaining in IRA, 401(k) and other similar accounts which, at the death of the decedent, pass to a beneficiary other than the estate.
3. Claim For Wrongful Death - This category is for cases in which the death of the decedent was caused by the wrongful act, neglect or default of another, who may be liable in action for damages brought by the personal representative. The potential existence of a claim for damages should be reported here. [**NOTE:** (a) *The personal representative should NOT receive or disburse wrongful death proceeds prior to meeting all statutory requirements for bonds or bond increases.* (b) *Any recovery is not subject to the claims of creditors except for burial expenses of the decedent, reasonable hospital and medical expenses incident to the injury resulting in death and not totalling over \$4,500 (but not over 50%) of the damages recovered after deducting attorneys fees, and Medicaid claims.* (c) *The proceeds of the recovery must be distributed by the personal representative in accordance with the Intestate Succession Act, regardless of the existence or terms of any will.* (d) *Except for payment of the expenses expressly allowed by statute, the personal representative must not comingle wrongful death proceeds with assets of the estate. The personal representative must file a separate accounting with the clerk of superior court regarding any and all wrongful death proceeds. If you believe there may be a wrongful death claim, consult an attorney.*]

**SIGNATURE - All applicants must sign. The signature of each must be separately notarized before a notary public or acknowledged before the clerk, assistant, or deputy.**

# STATE OF NORTH CAROLINA

File No.

In The General Court Of Justice  
Superior Court Division  
Before The Clerk

County

**IN THE MATTER OF THE ESTATE OF:**

Name Of Decedent/Minor/Incompetent/Trust

**OATH/AFFIRMATION**

N.C. Constitution, Art. VI., Sec. 7; G.S.11-7, 11-11; 28A-7-1

I, the undersigned, do solemnly ☐ swear ☐ affirm that I will support and maintain the Constitution and laws of the United States, and the Constitution and laws of North Carolina not inconsistent therewith; that I will be faithful and bear true allegiance to the State of North Carolina, and to the constitutional powers and authorities which are or may be established for the government thereof; and that I will endeavor to support, maintain and defend the Constitution of said State, not inconsistent with the Constitution of the United States, to the best of my knowledge and ability; and that I will faithfully discharge the duties of my office as indicated below;

☐ so help me, God. ☐ and this is my solemn affirmation.

(check office below)

☐ **OATH OF ADMINISTRATOR**

I ☐ swear ☐ affirm that I believe that the above named decedent died without leaving any Last Will and Testament; that I will well and truly administer all and singular the goods and chattels, rights and credits of the deceased and a true and perfect inventory thereof return according to law; and that all other duties appertaining to the charge reposed in me, I will well and truly perform, according to law and with my best skill and ability;

☐ so help me, God. ☐ and this is my solemn affirmation.

☐ **OATH OF EXECUTOR**

I ☐ swear ☐ affirm that I believe this paper writing to be and contain the Last Will and Testament of the above named decedent; and that I will well and truly execute the same by first paying the decedent's debts and then the decedent's legacies; as far as the said estate shall extend or the law shall charge me; and that I will well and faithfully execute the office of an executor, agreeably to the trust and confidence reposed in me, and according to law;

☐ so help me, God. ☐ and this is my solemn affirmation.

☐ **OATH OF ADMINISTRATOR CTA**

I ☐ swear ☐ affirm that I believe this paper writing to be and contain the Last Will and Testament of the above named decedent; and that I will well and truly execute the same by first paying the decedent's debts and then the decedent's legacies, as far as the said estate shall extend or the law shall charge me; and that I will well and faithfully execute the office of an administrator cta to the best of my skill and ability and according to the law;

☐ so help me, God. ☐ and this is my solemn affirmation.

☐ **OATH OF FIDUCIARY**

I ☐ swear ☐ affirm that I will faithfully and honestly discharge the duties reposed in me according to the best of my skill and ability, and according to law;

☐ so help me, God. ☐ and this is my solemn affirmation.

Name Of Fiduciary 1

Name Of Fiduciary 2

Signature Of Fiduciary

Signature Of Fiduciary

☐ **SWORN** ☐ **AFFIRMED** AND SUBSCRIBED TO BEFORE ME☐ **SWORN** ☐ **AFFIRMED** AND SUBSCRIBED TO BEFORE ME

Date

Date

Signature Of Person Authorized To Administer Oaths

Signature Of Person Authorized To Administer Oaths

☐ Deputy CSC ☐ Assistant CSC ☐ Clerk Of Superior Court☐ Deputy CSC ☐ Assistant CSC ☐ Clerk Of Superior Court☐ Notary

Date My Commission Expires

Date My Commission Expires

☐ Notary**SEAL**

County Where Notarized

County Where Notarized

**SEAL**

# STATE OF NORTH CAROLINA

File No.

Mecklenburg County

In The General Court Of Justice  
Superior Court Division  
Before The Clerk

## IN THE MATTER OF THE ESTATE OF:

Name Of Decedent

Name, Street Address, PO Box, City, State and Zip Code of Affiant

Telephone No.

Legal Residence (County, State)

## FAMILY HISTORY AFFIDAVIT

### INTERROGATORIES ABOUT DECEDENT AND FAMILY

1. Marital Status: ☐ Married ☐ Widowed ☐ Divorced ☐ Never Married

a. If Married/Widowed/Divorced:

Name of Spouse: \_\_\_\_\_

Date of Marriage: \_\_\_\_\_

Date of Divorce (or death): \_\_\_\_\_

b. Names and Addresses of children born into this marriage:

Name	Address
_____	_____
_____	_____
_____	_____
_____	_____

c. Is there an unborn child? ☐ Yes ☐ No

2. Did any of the children listed above die prior to the date the decedent died? ☐ Yes ☐ No

a. If yes:

Name of pre-deceased child: \_\_\_\_\_

Did the pre-deceased child have children? ☐ Yes ☐ No

If yes, names of children: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Has the decedent been married more than once? ☐ Yes ☐ No

a. If yes, name of prior spouse: \_\_\_\_\_

(Over)

b. Names and Addresses of Children Born into this marriage:

Name

Address

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4. Did the decedent have any children that were born outside of marriage?

☐ Yes

☐ No

a. If yes, list names and addresses:

Name

Address

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5. Did the decedent leave:

a. An adopted child?

☐ Yes

☐ No

b. A child that has been adjudged mentally incompetent?

☐ Yes

☐ No

6. Are the parents of the decedent living?

☐ Yes

☐ No

If yes, list names below.

a. Mother: \_\_\_\_\_

b. Father: \_\_\_\_\_

7. How many brother and sisters did the decedent have? \_\_\_\_\_

Name

Address (if known)

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8. Did any of the siblings listed above die prior to the date the decedent died?

☐ Yes

☐ No

a. If yes:

Name of pre-deceased sibling(s):

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Did the pre-deceased sibling(s) have children?

☐ Yes

☐ No

If yes, names of children:

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Signature of Affiant		Date
SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME		
Date	Signature	
<input type="checkbox"/> Deputy CSC <input type="checkbox"/> Assistant CSC <input type="checkbox"/> Clerk of Superior Court		
<input type="checkbox"/> Notary	Date Commission Expires	
SEAL	County Where Notarized	



**STATE OF NORTH CAROLINA**

File No.

In The General Court Of Justice  
Superior Court Division  
Before The Clerk

\_\_\_\_ County

**IN THE MATTER OF THE ESTATE OF:***Name Of Decedent/Incompetent***APPOINTMENT OF  
RESIDENT PROCESS AGENT**

G.S. 28A-4-2(4); 35A-1213(b)

I, the qualified personal representative or guardian of the above named estate, submit to the jurisdiction of the North Carolina Courts in the above captioned matter, and appoint the resident process agent named below on whom may be served citations, notices and processes in all actions or proceedings with respect to this estate.

*Name, Street Address, PO Box, City, State And Zip Code Of Resident Process Agent**Date**Name Of Personal Representative Or Guardian (Type Or Print)**Telephone**County Of Residence**Signature Of Personal Representative Or Guardian***ACCEPTANCE OF APPOINTMENT**

I accept this appointment as resident process agent for the above named personal representative or guardian, and agree to notify the personal representative or guardian of all citations, notices and processes served on me as his resident process agent.

**SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME***Date**Date**Name Of Resident Process Agent (Type Or Print)**Signature Of Person Authorized To Administer Oaths**Signature Of Resident Process Agent*☐ *Deputy CSC*    ☐ *Assistant CSC*    ☐ *Clerk Of Superior Court*☐ *Notary**Date My Commission Expires***SEAL***County Where Notarized*

# STATE OF NORTH CAROLINA

File No.

In The General Court Of Justice  
Superior Court Division  
Before The Clerk

County

**IN THE MATTER OF THE ESTATE OF:**

Name Of Decedent/Trust/Minor/Incompetent

**BOND  
(Corporate Surety)**

G.S. 28A-8-1, -1.1, -2, -3; 35A-1231

We, the undersigned principal(s) and surety, are obligated to the State of North Carolina in the sum shown below. We bind ourselves, jointly and severally, to the payment of this sum.

The condition of this obligation is such that if the principal(s) shall administer the above estate/trust according to the law, faithfully execute the trust reposed in him/her, and obey all lawful orders issued by the Clerk of Superior Court or other court touching the administration of the estate/trust committed to the principal(s), then this obligation shall be void; otherwise, this obligation shall remain in full force and effect.

Current Bond Amount	Amount Increase/Decrease (if any)	Amount New Bond Total (if applicable)
\$	\$	\$

Name Of Principal	Name Of Principal 2 (if applicable)
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Signature Of Principal	Signature Of Principal 2 (if applicable)
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**ACKNOWLEDGED BEFORE ME  
(Principal)****ACKNOWLEDGED BEFORE ME  
(Principal 2, if applicable)**

Date	Date
------	------

Signature	Signature
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☐ Deputy CSC ☐ Assistant CSC ☐ Clerk Of Superior Court☐ Deputy CSC ☐ Assistant CSC ☐ Clerk Of Superior Court

Date Commission Expires	<input type="checkbox"/> Notary	Date Commission Expires	<input type="checkbox"/> Notary
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County Where Notarized	<b>SEAL</b>	County Where Notarized	<b>SEAL</b>
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Name And Address Of Corporate Surety

Bond No.

Name Of Agent For Corporate Surety

Signature Of Agent For Corporate Surety

**ACKNOWLEDGED BEFORE ME  
(Agent For Corporate Surety)**

Date	Signature
------	-----------

☐ Deputy CSC ☐ Assistant CSC ☐ Clerk Of Superior Court☐ Notary 

Date Commission Expires
-------------------------

**SEAL**

County Where Notarized

Original-File

**STATE OF NORTH CAROLINA**

File No.

In The General Court Of Justice  
Superior Court Division  
Before The Clerk\_\_\_\_\_  
County**IN THE MATTER OF THE ESTATE OF:**

Name Of Decedent/Trust/Minor/Incompetent

**BOND  
(Personal Sureties)**

G.S. 28A-8-1, -1.1, -2, -3; 35A-1231

We, the undersigned principal and sureties, are obligated to the State of North Carolina in the sum shown below. We bind ourselves, jointly and severally, to the payment of this sum.

The condition of this obligation is such that if the principal shall administer the above estate/trust according to law, faithfully execute the trust reposed in him/her, and obey all lawful orders issued by the Clerk of Superior Court or other court touching the administration of the estate/trust committed to the principal, then this obligation shall be void; otherwise, this obligation shall remain in full force and effect.

Current Bond Amount

\$

Amount Increase/Decrease (if any)

\$

Amount New Bond Total (if applicable)

\$

Signature Of Principal

**ACKNOWLEDGED BEFORE ME  
(Principal)**

Date

Signature

☐ Deputy CSC☐ Assistant CSC☐ Clerk Of Superior Court☐ Notary

Date Commission Expires

**SEAL**

County Where Notarized

Signature of Surety 1

Signature of Surety 2

**ACKNOWLEDGED BEFORE ME  
(Surety 1)**

Date

Signature

☐ Deputy CSC☐ Assistant CSC☐ Clerk Of Superior Court**SEAL****ACKNOWLEDGED BEFORE ME  
(Surety 2)**

Date

Signature

☐ Deputy CSC☐ Assistant CSC☐ Clerk Of Superior Court**SEAL****JUSTIFICATION**

Each of the undersigned personal sureties being first duly sworn, says that he/she is a resident of the State of North Carolina, owns real estate in North Carolina, has assets with an aggregate value above encumbrances of not less than the amount of the bond, which aggregate value is over and above all liabilities and exemptions allowed by law.

Signature Of Surety 1

Signature Of Surety 2

Name And Address Of Personal Surety 1

Name And Address Of Personal Surety 2

**SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME**

Date

Signature

☐ Deputy CSC☐ Assistant CSC☐ Clerk Of Superior Court**SEAL****SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME**

Date

Signature

☐ Deputy CSC☐ Assistant CSC☐ Clerk Of Superior Court**SEAL**

# STATE OF NORTH CAROLINA

File No.

\_\_\_\_ County

In The General Court Of Justice  
Superior Court Division  
Before The Clerk

**IN THE MATTER OF THE ESTATE OF:**

Name Of Decedent

**WAIVER OF  
PERSONAL REPRESENTATIVE'S BOND**

G.S. 28A-8-1(b)(6), (8)

I certify that I am an heir/devisee of the above named decedent, and I am over eighteen (18) years of age.

I waive the statutory requirement for bond for the personal representative named below of this estate, who resides in the State of North Carolina, and agree to relieve him/her from the necessity of giving the statutory bond. (**NOTE:** *An express requirement in the will for a bond cannot be waived.*)

Name Of Personal Representative

**I understand that this means that there will be no bond to go against if the personal representative does not properly administer the estate and distribute the assets to the heirs.**

I have read this Waiver, and I fully understand its meaning and effect.

Date		Date	
Name Of Heir/Devisee (Type Or Print)		Name Of Heir/Devisee (Type Or Print)	
Signature Of Heir/Devisee		Signature Of Heir/Devisee	
<b>SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME</b>		<b>SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME</b>	
Date	Signature	Date	Signature
<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC	<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC
<input type="checkbox"/> Clerk Of Superior Court		<input type="checkbox"/> Clerk Of Superior Court	
<input type="checkbox"/> Notary	Date My Commission Expires	Date My Commission Expires	<input type="checkbox"/> Notary
SEAL	County Where Notarized	County Where Notarized	SEAL

Date		Date	
Name Of Heir/Devisee (Type Or Print)		Name Of Heir/Devisee (Type Or Print)	
Signature Of Heir/Devisee		Signature Of Heir/Devisee	
<b>SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME</b>		<b>SWORN/AFFIRMED AND SUBSCRIBED TO BEFORE ME</b>	
Date	Signature	Date	Signature
<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC	<input type="checkbox"/> Deputy CSC	<input type="checkbox"/> Assistant CSC
<input type="checkbox"/> Clerk Of Superior Court		<input type="checkbox"/> Clerk Of Superior Court	
<input type="checkbox"/> Notary	Date My Commission Expires	Date My Commission Expires	<input type="checkbox"/> Notary
SEAL	County Where Notarized	County Where Notarized	SEAL

# STATE OF NORTH CAROLINA

File No.

County

In The General Court Of Justice  
Superior Court Division  
Before the Clerk

## IN THE MATTER OF THE ESTATE OF:

Name Of Decedent

## RENUNCIATION OF RIGHT TO QUALIFY FOR LETTERS TESTAMENTARY OR LETTERS OF ADMINISTRATION

G.S. 28A-5-1, -2

### To The Clerk Of Superior Court:

- ☐ 1. The undersigned hereby renounces the right to qualify as executor of the estate of the above named decedent.
- ☐ 2. The undersigned hereby renounces the right to administer the estate of the above named decedent and respectfully asks that the following nominee be appointed administrator of the estate.

Name Of Nominee

Date

Date

Name Of Person Renouncing (Type Or Print)

Name Of Witness (Type Or Print)

Signature Of Person Renouncing

Signature Of Witness

Date

Date

Name Of Person Renouncing (Type Or Print)

Name Of Witness (Type Or Print)

Signature Of Person Renouncing

Signature Of Witness

Date

Date

Name Of Person Renouncing (Type Or Print)

Name Of Witness (Type Or Print)

Signature Of Person Renouncing

Signature Of Witness

Date

Date

Name Of Person Renouncing (Type Or Print)

Name Of Witness (Type Or Print)

Signature Of Person Renouncing

Signature Of Witness