Mission

of the North Carolina Judicial Branch

To protect and preserve the rights and liberties of all the people, as guaranteed by the Constitutions and laws of the United States and North Carolina, by providing a fair, independent, and accessible forum for the just, timely, and economical resolution of their legal affairs.
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Dear Friend of the Court,

We are pleased to provide this fiscal year 2012–13 Annual Report of the North Carolina Judicial Branch. We truly are proud of our North Carolina court system, and we thank you for this opportunity to share our successes, even during this time of economic hardship.

This report describes the North Carolina Judicial Branch and all of its component offices. This report also presents noteworthy accomplishments of the court system during the fiscal year.

For detailed and other information visit our website, nccourts.org; see our new section, “Judicial Branch Data and Information.” This section of the website provides annual statistical and operational reports, activities of the North Carolina business courts, fact sheets, and other court-related data.

Your interest in the North Carolina Judicial Branch is greatly appreciated.

Sincerely,

Sarah Parker, Chief Justice
Supreme Court of North Carolina

John W. Smith, Director
North Carolina Administrative Office of the Courts
Court may hear appeals directly from the trial courts in cases of significant public interest, in cases involving legal principles of major significance, where delay would cause substantial harm, or when the Court of Appeals docket is unusually full.

Criminal cases proceed to the superior court for trial de novo. Civil and juvenile cases proceed to the Court of Appeals.

Most appeals from judicial proceedings before the clerk are to the superior court. A few matters, such as adoptions, are appealed to the district court.

Court may hear appeals directly from the trial courts in cases of significant public interest, in cases involving legal principles of major significance, where delay would cause substantial harm, or when the Court of Appeals docket is unusually full.

1 Appeals from the Court of Appeals to the Supreme Court are by right in certain cases involving constitutional questions, and cases in which there has been dissent in the Court of Appeals. In its discretion, the Supreme Court may review Court of Appeals decisions in cases of significant public interest or cases involving legal principles of major significance, and in cases in which the decision of the Court of Appeals appears to be in conflict with a decision of the Supreme Court.

2 Appeals from these agencies go directly to the Court of Appeals.

3 As a matter of right, appeals go directly to the Supreme Court in first degree capital murder cases in which the defendant has been sentenced to death, in Utilities Commission general rate cases, and in redistricting cases. In all other cases appeal as of right is to the Court of Appeals. In its discretion, the Supreme Court may hear appeals directly from the trial courts in cases of significant public interest, in cases involving legal principles of major significance, where delay would cause substantial harm, or when the Court of Appeals docket is unusually full.

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*Jurisdictional amounts were changed by the General Assembly for the upcoming year.

*Original jurisdiction: misdemeanor cases not assigned to magistrates; probable cause hearings; accept guilty/no contest pleas in certain felony cases; civil cases $10,000 or less; juvenile proceedings; domestic relations; mental health hospital commitments.

*Original jurisdiction: accept certain misdemeanor guilty pleas and admission of responsibility to infractions; worthless check misdemeanors $2,000 or less; small claims $5,000 or less; valuation of property in certain estate cases.

*Original jurisdiction: misdemeanor cases not assigned to magistrates; probable cause hearings; accept guilty/no contest pleas in certain felony cases; civil cases $10,000 or less; juvenile proceedings; domestic relations; mental health hospital commitments.

*Original jurisdiction: all felony cases; civil cases in excess of $10,000; decisions of most administrative agencies.

*Jurisdictional amounts were changed by the General Assembly for the upcoming year.

*Original jurisdiction: probate and estates, special proceedings (condemnations, adoptions, partitions, foreclosures, etc.); in certain cases, may accept guilty pleas or admissions of responsibility and enter judgment.

Courts of Appeals

District Courts

Clerks of Superior Court

Magistrates

NCAOC Staff

Clerk Personnel

Original jurisdiction: probate and estates, special proceedings (condemnations, adoptions, partitions, foreclosures, etc.); in certain cases, may accept guilty pleas or admissions of responsibility and enter judgment.

Decisions of Industrial Commission, State Bar, Property Tax Commission, Commissioner of Insurance, Department of Health and Human Services, Secretary of Environment and Natural Resources, and the Utilities Commission (in cases other than general rate cases).

Recommendations from Judicial Standards Commission; final orders of Utilities Commission in general rate cases.

Original jurisdiction: all felony cases; civil cases in excess of $10,000; decisions of most administrative agencies.

Court Organizational Structure and Routes of Appeal

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Personnel and Budget Quick Facts

Workload Formulas
The North Carolina Administrative Office of the Courts (NCAOC) partners with the National Center for State Courts to determine staffing needs for district court judges, clerks of superior court staff, magistrates, assistant district attorneys, and victim witness legal assistants.

Using extensive time study information, a case weight based approach was employed to determine staffing shortfalls. Using the same approach, NCAOC has conducted workload studies for family court case coordinators and custody mediators.

The workload and staffing needs information is used to request, if needed, additional resources from the General Assembly. Because the current fiscal situation does not permit the filling of all vacancies, this information is the basis of the vacancy management system. Through this system, when resources permit some vacancies to be filled, vacancies are authorized to be filled first in the offices where workload most significantly exceeds staffing resources.

Expansion Needs
As we close out the 2011–13 biennium, the Judicial Branch continues to have constitutionally mandated services that are either unfunded or underfunded. These services include foreign language access; juror and witness fees; pay plan restoration for assistant and deputy clerks and magistrates; technology advancements; and adding employees based on needs as determined by workload formulas.

Personnel (all funding sources)

<table>
<thead>
<tr>
<th>Position</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>JUSTICES AND JUDGES</strong></td>
<td></td>
</tr>
<tr>
<td>*Supreme Court justices</td>
<td>7</td>
</tr>
<tr>
<td>*Court of Appeals judges</td>
<td>15</td>
</tr>
<tr>
<td>*Superior court judges</td>
<td>112</td>
</tr>
<tr>
<td>*District court judges</td>
<td>270</td>
</tr>
<tr>
<td><strong>AUTHORIZED PERSONNEL</strong></td>
<td></td>
</tr>
<tr>
<td>*District attorneys</td>
<td>44</td>
</tr>
<tr>
<td>Assistant district attorneys</td>
<td>641</td>
</tr>
<tr>
<td>*Clerks of superior court</td>
<td>100</td>
</tr>
<tr>
<td>Clerk personnel</td>
<td>2,546.30</td>
</tr>
<tr>
<td>Guardian ad Litem personnel</td>
<td>145.125</td>
</tr>
<tr>
<td>Magistrates</td>
<td>654.10</td>
</tr>
<tr>
<td>Administrative Office of the Courts</td>
<td>388.90</td>
</tr>
<tr>
<td>Court support staff</td>
<td>1,065.625</td>
</tr>
<tr>
<td>Trial court administrators</td>
<td>10</td>
</tr>
<tr>
<td><strong>Other</strong></td>
<td>45</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>6,044.05</td>
</tr>
</tbody>
</table>

*Independently elected judicial officials; the Judicial Branch has 548.


Budget

<table>
<thead>
<tr>
<th>Certified Appropriations</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Total certified appropriations, 2012–13</td>
<td>$432,806,800</td>
</tr>
<tr>
<td>Percent decrease from 2011–12</td>
<td>-1.39%</td>
</tr>
<tr>
<td>Total certified appropriations as a percent of total state General Fund appropriations</td>
<td>2.14%</td>
</tr>
</tbody>
</table>
STATE JUDICIAL COUNCIL

The State Judicial Council is an advisory and oversight body for the Judicial Branch, chaired by the chief justice of the Supreme Court of North Carolina and consisting of representatives from every component of the court system, the bar, and non-attorney public members. Its general duties (refer to the North Carolina General Statutes) encompass studying and monitoring the operations of the court system and identifying areas for improvement.

The Judicial Council’s specific areas of responsibility include:

- Advising the chief justice on priorities for funding
- Conferring with the chief justice on the budget prepared by the North Carolina Administrative Office of the Courts (NCAOC)
- Recommending to the General Assembly the salaries of justices and judges and changes in expense allowances, benefits, and other compensation for other judicial officials
- Recommending the creation of judgeships
- Recommending to the chief justice performance standards for all courts and all judicial officials
- Implementing guidelines for the assignment and management of cases, including monitoring the effectiveness of alternative dispute resolution programs
- Recommending changes to the boundaries of judicial districts or divisions
- Monitoring the administration of justice and assessing the effectiveness of the Judicial Branch in serving the public and advising the chief justice and the General Assembly on changes needed to assist the General Court of Justice to better fulfill its mission

Members of the State Judicial Council as of June 30, 2013

Judicial Branch Officials
Honorable Sarah Parker
Chief justice
Supreme Court of North Carolina
Judicial Council chair

Honorable John C. Martin
Chief judge
North Carolina Court of Appeals

Honorable Robert Hobgood
Senior resident superior court judge

Honorable Mac Cameron
Chief district court judge

Honorable Brad Greenway
District attorney

Honorable Archie Smith
Clerk of superior court

Ms. LeAnn Melton
Public defender

Mr. Lionel Gilbert
Magistrate

Other Members
Mr. Hugh Campbell, III
Dr. Richard Dean
Mr. Robert Harper
Mr. John Wayne Kahl
Mr. Tom Maher
Mr. Fred H. Moody
Mr. James Phillips
Mr. Edwin Speas
DISTRICT COURTS

District courts hear cases involving civil, criminal, and juvenile matters, as well as appeals from the magistrate. Like superior court, district court sits in the county seat of each county. It may also sit in certain other cities and towns specifically authorized by the General Assembly.

Civil cases such as divorce, custody, child support, and cases involving less than $10,000 are heard in district court, along with criminal cases involving misdemeanors and infractions. Civil cases are heard by a jury if a party requests one, but certain cases are always decided by a judge without a jury, such as child custody disputes. The district court also hears juvenile cases (age 16 and under) that involve delinquency issues, and it has the authority to hear juvenile undisciplined cases (ages 16 and 17). It also considers abuse, neglect, and dependency cases involving children younger than 18.

Read more in the 2012–13 Statistical and Operational Report for Trial Courts, which is available on the Data and Information section of www.nccourts.org.

Magistrates

Magistrates are appointed by the senior resident superior court judge from nominations provided by the clerk of superior court.

Magistrates accept guilty pleas for minor misdemeanors and infractions, such as for hunting or fishing violations or for traffic violations, and may accept waivers of trial for certain worthless check cases if authorized by the chief district court judge. In civil cases, the magistrate is authorized to try small claims cases ($5,000 or less), landlord eviction cases, and suits for recovery of personal property and motor vehicle mechanics’ liens.

Caseload inventory

<table>
<thead>
<tr>
<th>Case type</th>
<th>Filed</th>
<th>Disposed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil</td>
<td>183,835</td>
<td>188,237</td>
</tr>
<tr>
<td>Civil magistrate (small claims)</td>
<td>218,908</td>
<td>219,970</td>
</tr>
<tr>
<td>Criminal – nontraffic</td>
<td>583,286</td>
<td>500,383</td>
</tr>
<tr>
<td>Criminal – traffic</td>
<td>905,229</td>
<td>997,446</td>
</tr>
<tr>
<td>Infractions</td>
<td>592,460</td>
<td>641,055</td>
</tr>
<tr>
<td>Civil license revocation</td>
<td>39,902</td>
<td></td>
</tr>
</tbody>
</table>

Manner of disposition

<table>
<thead>
<tr>
<th>Case type</th>
<th>Jury trial</th>
<th>Judge trial</th>
<th>Magistrate trial</th>
<th>Voluntary dismissal</th>
<th>Final order/judgment w/o trial</th>
<th>Clerk</th>
<th>Other*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil cases</td>
<td>163</td>
<td>62,704</td>
<td>97</td>
<td>28,698</td>
<td>43,352</td>
<td>26,308</td>
<td>26,915</td>
</tr>
<tr>
<td>Civil magistrate (small claims)</td>
<td>2</td>
<td>193</td>
<td>151,575</td>
<td>47,778</td>
<td>244</td>
<td>38</td>
<td>20,140</td>
</tr>
</tbody>
</table>

*“Other” includes dismissal on order of the court and discontinued dispositions.

<table>
<thead>
<tr>
<th>Case type</th>
<th>Trial</th>
<th>Plea</th>
<th>Dismissal with leave</th>
<th>Dismissal without leave</th>
<th>Dismissal after deferred prosecution</th>
<th>Other*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Criminal – nontraffic</td>
<td>20,649</td>
<td>182,455</td>
<td>16,471</td>
<td>284,454</td>
<td>18,696</td>
<td>120,865</td>
</tr>
<tr>
<td>Criminal – traffic</td>
<td>11,181</td>
<td>145,066</td>
<td>89,487</td>
<td>509,693</td>
<td>756</td>
<td>241,262</td>
</tr>
</tbody>
</table>

*“Other” includes felony heard and bound over, probable cause not found, probable cause waived, worthless check waiver, and felony superseding indictment.

<table>
<thead>
<tr>
<th>Case type</th>
<th>Waiver</th>
<th>Nonwaiver</th>
</tr>
</thead>
<tbody>
<tr>
<td>Infractions</td>
<td>304,116</td>
<td>336,939</td>
</tr>
</tbody>
</table>
**Superior Courts**

All felony criminal cases, civil cases involving more than $10,000, and misdemeanor and infraction appeals from district court are tried in superior court. A jury of 12 must decide the case for any criminal defendant who pleads not guilty. In civil cases, a judge generally will decide the case without a jury, unless a party to the case requests one.

Superior court is divided into eight divisions and 50 districts across the state. Every six months, superior court judges rotate among the districts within their divisions. The rotation system helps minimize conflicts of interest that might result from having a permanent judge in one district.

The North Carolina Business Court is a specialized forum of the North Carolina State Courts’ trial division. Cases involving complex and significant issues of corporate and commercial law in our state are assigned by the chief justice of the Supreme Court of North Carolina to a special superior court judge who oversees resolution of all matters in the case through trial.

Read more in the 2012–13 Statistical and Operational Report for Trial Courts, which is available on the Data and Information section of www.nccourts.org.

### Caseload inventory

<table>
<thead>
<tr>
<th>Case type</th>
<th>Filed</th>
<th>Disposed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil cases*</td>
<td>21,485</td>
<td>24,002</td>
</tr>
<tr>
<td>Estates</td>
<td>68,444</td>
<td>69,088</td>
</tr>
<tr>
<td>Special proceedings</td>
<td>67,638</td>
<td>65,239</td>
</tr>
<tr>
<td>Criminal–nontraffic</td>
<td>121,910</td>
<td>146,621</td>
</tr>
<tr>
<td>Criminal–traffic</td>
<td>9,891</td>
<td>10,479</td>
</tr>
</tbody>
</table>

*“Civil cases” include cases heard by business court.

### Manner of disposition

<table>
<thead>
<tr>
<th>Case type</th>
<th>Jury trial</th>
<th>Judge trial</th>
<th>Voluntary dismissal</th>
<th>Final order/Judgment w/o trial</th>
<th>Clerk</th>
<th>Other*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil cases</td>
<td>312</td>
<td>4,016</td>
<td>11,555</td>
<td>3,131</td>
<td>2,408</td>
<td>2,580</td>
</tr>
<tr>
<td>Estates</td>
<td>—</td>
<td>2</td>
<td>14</td>
<td>13</td>
<td>68,866</td>
<td>193</td>
</tr>
<tr>
<td>Special Proceedings**</td>
<td>4</td>
<td>185</td>
<td>21,388</td>
<td>134</td>
<td>40,680</td>
<td>2,848</td>
</tr>
</tbody>
</table>

*“Other” includes magistrate trial, dismissal on order of the court, and discontinued.

**The number of Special Proceedings cases filed and disposed reflects those cases that are non-confidential.

<table>
<thead>
<tr>
<th>Case type</th>
<th>Trial</th>
<th>Plea</th>
<th>Dismissal with leave</th>
<th>Dismissal without leave</th>
<th>Dismissal after deferred prosecution</th>
<th>Other*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Criminal–nontraffic</td>
<td>2,579</td>
<td>77,868</td>
<td>2,008</td>
<td>47,584</td>
<td>894</td>
<td>15,688</td>
</tr>
<tr>
<td>Criminal–traffic</td>
<td>304</td>
<td>2,447</td>
<td>292</td>
<td>3,777</td>
<td>2</td>
<td>3,657</td>
</tr>
</tbody>
</table>

*“Other” includes speedy trial dismissals.
COURT OF APPEALS

The Court of Appeals is the state’s intermediate appellate court. The court has 15 judges who serve eight-year terms and hear cases in panels of three. The Court of Appeals decides only questions of law in cases appealed from superior and district courts and from some administrative agencies of the executive branch. Appeals range from infractions to non-capital murder cases.

If there has been a dissent in an opinion of the Court of Appeals, the parties to the case have the right to have the Supreme Court review the decision. If there is no dissent, then the Supreme Court may still review the case upon a party’s petition.

These tables summarize filing and disposition activity in the Court of Appeals. In addition to trend data for the past 10 years, these tables provide filings and dispositions for cases on appeal, petitions, and motions during fiscal year 2012–13. “Cases on appeal” include cases appealed from district courts, superior courts, and administrative agencies. They are counted as appeals only after a record is filed with the clerk’s office and a docket number is assigned. The “petition” category includes petitions involving only the four “extraordinary” writs set out in Article V of the Rules of Appellate Procedure: certiorari, mandamus, prohibition, and supersedeas. "Motions" encompass any type of relief sought from the Court of Appeals, either in a case already filed with the Court of Appeals, or one on its way to the Court of Appeals but not yet filed.

Cases on appeal represent the largest portion of the Court of Appeals’ workload, since most are disposed by written opinion. The other methods of disposition include the court’s dismissal of the appeal and an appealing party’s withdrawal of the appeal.

Filings and dispositions

<table>
<thead>
<tr>
<th>Cases filed</th>
<th>Number of cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cases on appeal</td>
<td>1,565</td>
</tr>
<tr>
<td>Petitions</td>
<td>999</td>
</tr>
<tr>
<td>Motions</td>
<td>3,759</td>
</tr>
</tbody>
</table>

Filings and dispositions of appeals and petitions

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Filings</th>
<th>Dispositions</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012–13</td>
<td>2,564</td>
<td>2,490</td>
</tr>
<tr>
<td>2011–12</td>
<td>2,594</td>
<td>2,775</td>
</tr>
<tr>
<td>2010–11</td>
<td>2,549</td>
<td>2,671</td>
</tr>
<tr>
<td>2009–10</td>
<td>2,493</td>
<td>2,126</td>
</tr>
<tr>
<td>2008–09</td>
<td>2,502</td>
<td>2,307</td>
</tr>
<tr>
<td>2007–08</td>
<td>2,424</td>
<td>2,567</td>
</tr>
<tr>
<td>2006–07</td>
<td>2,484</td>
<td>2,634</td>
</tr>
<tr>
<td>2005–06</td>
<td>2,707</td>
<td>2,973</td>
</tr>
<tr>
<td>2004–05</td>
<td>2,719</td>
<td>2,731</td>
</tr>
<tr>
<td>2003–04</td>
<td>2,674</td>
<td>2,562</td>
</tr>
</tbody>
</table>

Read more in the 2012–13 Statistical and Operational Report for the Appellate Courts, which is available on the Data and Information section of www.nccourts.org.
**Supreme Court**

The Supreme Court of North Carolina is the state’s highest court, and the state has no further appeal from its decisions. The court comprises the chief justice and six associate justices, each of whom serves an eight-year term. The Supreme Court makes no determination of fact; rather, it considers whether error occurred in trial or in judicial interpretation of the law. The chief justice also serves as the head of the Judicial Branch.

Read more in the 2012–13 Statistical and Operational Report for the Appellate Courts, which is available on the Data and Information section of www.nccourts.org.

**Caseload inventory**

<table>
<thead>
<tr>
<th>Cases filed</th>
<th>Begin pending (7/1/12)</th>
<th>Filed</th>
<th>Disposed</th>
<th>End pending (6/30/13)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PETITIONS FOR REVIEW</strong>*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Civil domestic</td>
<td>3</td>
<td>18</td>
<td>19</td>
<td>2</td>
</tr>
<tr>
<td>Juvenile</td>
<td>1</td>
<td>24</td>
<td>17</td>
<td>8</td>
</tr>
<tr>
<td>Other civil</td>
<td>74</td>
<td>247</td>
<td>252</td>
<td>69</td>
</tr>
<tr>
<td>Criminal (including death sentences)</td>
<td>92</td>
<td>341</td>
<td>346</td>
<td>88</td>
</tr>
<tr>
<td>Administrative agency decision</td>
<td>0</td>
<td>2</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Total petitions for review</td>
<td>170</td>
<td>632</td>
<td>636</td>
<td>166</td>
</tr>
<tr>
<td><strong>APPEALS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Civil domestic</td>
<td>2</td>
<td>3</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Petitions for review granted that became civil domestic appeals</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Juvenile</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Petitions for review granted that became juvenile appeals</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Other civil</td>
<td>23</td>
<td>37</td>
<td>38</td>
<td>22</td>
</tr>
<tr>
<td>Petitions for review granted that became other civil appeals</td>
<td>0</td>
<td>16</td>
<td>15</td>
<td>1</td>
</tr>
<tr>
<td>Criminal, defendant sentenced to death</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Other criminal</td>
<td>24</td>
<td>56</td>
<td>63</td>
<td>17</td>
</tr>
<tr>
<td>Petitions for review granted that became other criminal appeals</td>
<td>0</td>
<td>22</td>
<td>19</td>
<td>3</td>
</tr>
<tr>
<td>Administrative agency decision</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Petitions for review granted that became appeals of administrative agency decision</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total appeals</td>
<td>52</td>
<td>139</td>
<td>143</td>
<td>48</td>
</tr>
<tr>
<td><strong>OTHER PROCEEDINGS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rule 16(b) additional issues</td>
<td>10</td>
<td>10</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Motions</td>
<td>659</td>
<td>661</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total other proceedings</strong></td>
<td>669</td>
<td>671</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Petitions for review are cases in which the court is asked to accept discretionary review of decisions of the Court of Appeals and other tribunals.

**The Appeals category comprises cases within the court’s appellate jurisdiction.
## Court Programs, Conferences, and Commissions

<table>
<thead>
<tr>
<th>Program</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alternative dispute resolution services</td>
<td>Offers a less adversarial, more expeditious process for settling legal disputes</td>
</tr>
<tr>
<td>Child custody mediation services</td>
<td>Provides neutral, non-adversarial court-ordered mediation services in cases involving custody/visititation of minor children parenting agreements</td>
</tr>
<tr>
<td>Problem solving courts and other services</td>
<td>Local special courts and initiatives managed and operated by superior and district courts that attempt to address challenges before them</td>
</tr>
<tr>
<td>Foreign language interpreting services</td>
<td>Helps facilitate equal access to justice for limited English proficient (LEP) speaking and/or deaf and hard of hearing people involved in court proceedings</td>
</tr>
<tr>
<td>Guardian ad Litem</td>
<td>Team representation model consisting of attorney advocates, volunteers, and staff appointed to protect and promote the best interest of abused and neglected children under the jurisdiction of North Carolina juvenile courts</td>
</tr>
<tr>
<td>Juvenile Court Improvement Project</td>
<td>Coordinates the management of child abuse, neglect, and dependency cases to ensure timely, efficient, and effective resolution of cases</td>
</tr>
<tr>
<td>Unified family court</td>
<td>Coordinates the management of family law cases to ensure timely and efficient resolution of legal matters within established time standards</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Conference</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conference of Clerks of Superior Court</td>
<td>Serves as the primary point of contact between the 100 elected clerks of superior court, the General Assembly, the NCAOC, and other state, local, and public entities to ensure the effective and efficient exchange of information</td>
</tr>
<tr>
<td>Conference of District Attorneys</td>
<td>The Conference of District Attorneys serves the 44 Elected District Attorneys in their pursuit of justice and improvement of the administration of criminal law by providing training, materials, research, technical support and monitoring criminal legislation.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Commission</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chief Justice’s Commission on Professionalism</td>
<td>Enhances professionalism among North Carolina’s lawyers while providing ongoing attention and assistance to ensure the practice of law remains a high calling, dedicated to the service of clients and the public good</td>
</tr>
<tr>
<td>Dispute Resolution Commission</td>
<td>Certifies and regulates private mediators who serve North Carolina’s courts. Also recommends dispute resolution policy, provides support to court-based mediation programs and certifies mediation training programs</td>
</tr>
<tr>
<td>Innocence Inquiry Commission</td>
<td>Reviews, investigates, and hears post-conviction innocence claims if new evidence of innocence comes to light by providing an independent and balanced truth-seeking forum</td>
</tr>
<tr>
<td>Judicial Standards Commission</td>
<td>Considers complaints against state district, superior, and appellate court judges and justices and, where appropriate, makes recommendations for discipline</td>
</tr>
<tr>
<td>Sentencing and Policy Advisory Commission</td>
<td>Makes recommendations to the General Assembly for the modification of sentencing laws and policies, and for the addition, deletion, or expansion of sentencing options as necessary to achieve policy goals</td>
</tr>
<tr>
<td>State Judicial Council</td>
<td>Advisory and oversight body for the Judicial Branch of government, chaired by the chief justice of the Supreme Court and consisting of representatives from every component of the court system, the bar, and public, non-attorney members to fulfill its duties as described in G.S. 7A-409.1</td>
</tr>
</tbody>
</table>

Background
Under the North Carolina Constitution, the Judicial Branch is established as an equal branch of state government with the legislative and executive branches. North Carolina’s court system, called the General Court of Justice, is a unified statewide and state-operated system.

The majority of operating expenses of the Judicial Branch are paid by the state, including salaries and travel expenses of all court officials, juror and witness fees, and equipment and office supplies for the judicial system. By state statute, G.S. 7A–302, counties and municipalities are responsible for the physical facilities occupied by court personnel across the state.

The chief justice of the Supreme Court of North Carolina appoints the director of the North Carolina Administrative Office of the Courts (NCAOC). G.S. 7A–343 sets forth the duties of the director, which include identifying staffing needs and managing and authorizing expenditures for the judicial budget.

Appropriations for Fiscal Year 2012–13 Budget
The Judicial Branch began fiscal year (FY) 2012–13 with $432.8 million in appropriations from the General Assembly; this appropriation represents 2.14 percent of the state’s overall General Fund of $20.2 billion.

Reductions to the 2012–13 Budget
Total cuts to the Judicial Branch budget for FY 2012–13 were $44.3 million, approximately 9 percent of the $459,330,244 projected budget for the fiscal year.

How the Budget is Spent
Of the Judicial Branch’s $432.8 million adjusted budget as approved by the General Assembly, 92 percent of it was used for employee salaries and benefits; this includes $136.8 million used to pay salaries and benefits for elected judicial officials, magistrates, and appointed officials whose offices are constitutionally based.

The remaining 8 percent of the Judicial Branch FY 2012–13 budget supported operations. Nearly 86 percent of the budget was devoted to local court operations; central administration accounted for 3 percent; and technology services, equipment, and statewide infrastructure together accounted for 8 percent. Appellate courts, independent commissions, and pass-through appropriations accounted for the remaining 3 percent (Chart 2, next page).

Monies Collected by the Courts
Do Not Stay with the Courts
Monies disbursed—such as fines, fees, forfeitures, restitution, and civil judgments that are paid to the courts—come through clerk of superior court offices. Although the monies are collected and receipted by the courts, less than one percent of these monies stays with the court system. Monies are remitted to citizens, counties, the state treasurer, and other state agencies.

For FY 2012–13, $773.9 million was disbursed by clerks of superior court—more than 43 percent went to the state treasurer, other state agencies, and law enforcement retirement (Chart 1). Of the General Court of Justice monies remitted to the state treasurer, funds equivalent to 55 percent were appropriated by the General Assembly to the Judicial Branch.

Counties and municipalities receive payments for fines, forfeitures (i.e., bond forfeitures), facilities fees, officer fees, pretrial civil revocation fees, service of process fees, and jail fees. City crime labs receive fees paid by offenders for lab work conducted at their facilities. Fines and forfeitures, which are required by the State Constitution to go to local schools, make up the largest sum of money received by counties. Facilities fees, which are paid as part of court costs in both criminal and civil cases, are the next largest source of revenue. In FY 2012–13, the court system disbursed nearly $72 million to counties and municipalities, of which $38.4 million was fine and forfeiture revenue, and $14 million was facility fee revenue.
Note: The certified budget is the budget enacted by the General Assembly. The FY 2012–13 budget is used for salaries and benefits. Within that, 29 percent of funds ($136.8 million) supports constitutionally mandated positions.
The past four years of the economic recession have brought trying and unprecedented times to the Judicial Branch. During this time, the courts sustained overall budget reductions of more than $100 million, which resulted in severe cuts to technology and the loss of 590 full-time employees statewide, many of whom took with them decades of training and experience. During this same time period, the North Carolina Administrative Office of the Courts (NCAOC) sustained a 20.12 percent reduction in its operating budget. All parts of the Judicial Branch were affected by the recession. The Judicial Branch budget coming out of the recession was $432 million—92 percent of which supported salaries; the remaining 8 percent supported operations. The Judicial Branch budget is 2.14 percent of the overall state budget. Read more about the recession’s effects on the Judicial Branch in the 2011–12 Annual Report on www.nccourts.org.

Coming out of the recession, the NCAOC had four priorities for the Judicial Branch that they asked legislators to consider for the 2013–15 biennium. These priorities represent some of the greatest needs, as well as all parts of the state’s unified court system. The themes for requests were funding to fulfill requirements of the North Carolina Constitution and General Statutes and to hire new employees needed as determined by workload formulas. At the conclusion of the long session, legislators provided funding for two of the Judicial Branch’s priorities. The NCAOC took a $4 million cut to cover some of the funded priorities. Needs not met continue to be legislative priorities for the Judicial Branch.

**FIRST** Restore funding for the pay plans for deputy clerks, assistant clerks, and magistrates. These pay plans are outlined in statute; however, they have not been funded since July 1, 2009. Consequently, all deputy clerks, assistant clerks, and magistrates who should have received a salary increase per their pay plans have not for four consecutive years. Funding would begin July 1, 2013, and go through the biennium. Retroactive funding would not be provided for the step increases that employees did not receive from July 1, 2009, through June 30, 2013.

*Actual Cost Requested:* $6.1 million during FY 2014, $9.7 million during FY 2015

*Outcome:* Not funded in long session; pursuing in short session

**SECOND** Provide adequate funding to meet shortfalls in constitutionally mandated obligations. Existing shortfalls between budgeted amounts and actual expenses, such as expert witness fees (Racial Justice Act and jury fees) would be funded.

*Actual Cost Requested:* $2.1 million annually

*Outcome:* $1 million annually

**THIRD** Restore magistrate positions in 28 counties. Given the stressful demands of 24x7 coverage, funding would restore one magistrate in counties that were reduced to three magistrates by previous budget cuts.

*Actual Cost Requested:* $1.6 million annually

*Outcome:* $1 million to restore 22 positions

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**STATE APPROPRIATED FUNDS NEEDED FOR PRIORITIES 1, 2, AND 3 = $9.8M for FY 2014**

**EXPANSION NEED** Provide staff needed for workload per §7A-343 (4) and as directed by §143C-3-2. The NCAOC partnered with the National Center for State Courts to complete workload formulas for all major staff groups in the Judicial Branch. Based on workload need, an additional 792 courthouse personnel are needed.

*Outcome:* Not funded

**TOTAL NEED FOR ALL REQUESTS TO MEET THE STATUTORY AND WORKLOAD DEMANDS $78.3 million of state appropriated funds**
The North Carolina Administrative Office of the Courts (NCAOC) is the administrative services provider that the North Carolina judicial community can rely on as a partner to help our unified court system operate more efficiently and effectively. Its approach is to apply its professional expertise consistently in the best interests of the court system, which includes an employee base of about 6,000, of which 548 are independently elected judicial officials. This section highlights the many ways that the NCAOC supports the court system and judicial officials and staff statewide.

**COURT PROGRAMS AND MANAGEMENT SERVICES**

**Alternative dispute resolution**
2,749 family financial cases completed
3,280 court-ordered arbitration cases completed
7,412 mediated settlement cases completed

**Child custody mediation**
18,830 people attended orientation
11,307 mediation sessions held
10,489 child custody cases mediated
5,105 parenting agreements drafted

**Language Access Services**
10 NCAOC Spanish court interpreters
74 certified Spanish court interpreters
1 certified French court interpreter
1 certified Mandarin court interpreter
1 certified Vietnamese court interpreter

**Family courts**
44,914 domestic cases filed
81% of pending domestic cases were less than one year old

**COURT SERVICES**

**Computer applications supported**
Child support enforcement system (SES)
Civil case management (CaseWise)
Civil, estates, and special proceedings index (VCAP)
Criminal and infractions case index (ACIS, CCIS-CC)
Criminal Case Management System (CCIS-DA, CCIS-CC)
Discovery Automation System (DAS)
eFiling
Judgment abstracting
Juvenile index and case management system (JWise)
Leave tracking system (BEACON)
Microsoft Windows® applications

**FINANCIAL SERVICES**

**Court funds management**
$900 million resources and fees managed
$459 million appropriations
$44 million special funds and grants
$283 million pass-through fees
$114 million other funds

**Budget management**
519 budget alignments

**Accounting functions**
$117.4 million fixed assets management
15,583 payroll payments
100,398 vendor payments
14,856 employee travel forms processed
3,187 IRS 1099 – MISC forms processed
3,397 IRS 1099 – MISC forms processed for clerks of superior court offices
276 electronic transfers
3,065 deposits

**GUARDIAN AD LITEM**

**Staff**
3 regional administrator positions
137 field staff positions
8 administrative, training, and legal staff positions
(1 grant funded)

**Attorneys**
66 paid attorneys
127 pro bono attorneys
9 staff attorney advocate positions
95 conflict attorneys

Volunteers
4,824 volunteers
463,104 hours of service
$10.3 million saved due to volunteer efforts
Volunteers donate on average 8 hours of service monthly.
Independent Sector valued volunteer time during 2012 at $22.14 per hour.

Program statistics
54,389 child abuse and neglect hearings
15,251 abused and neglected children received legal representation
177 juvenile appellate cases filed

HUMAN RESOURCES
Quick Facts
22 HR positions, each serve an average of 299 Judicial Branch positions
Just over 92% of the total Judicial Branch budget is allocated for salaries and benefits

Judicial Branch FTE positions supported (total 6,584.55)
287 hiring authorities
290 judges
5,062.15 court staff
38 commissions and conferences staff
520.5 Indigent Defense Services
386.9 NCAOC managers and staff

Number of personnel transactions
3,647

Number of position change transactions
2,902

Number of benefit actions
419 personal adjustments
37 new short-term disability cases
153 retirements

Unemployment insurance claims (total $1,015,621)
145 claims paid out
$7,004 average cost per claim

Workplace injuries (total $586,175)
61 injuries or 0.9% of employee population

Employee Assistance Program referrals (total 70)
1 management referral
69 self referrals

Retirement eligible
7.3% 1 year
12.5% 3 year
19.2% 5 year

Employee turnover (total 9.0%)
7.2% voluntary (includes retirements)
1.8% involuntary
587 total separations (excludes temporaries)

Employee recognition
1,204 service awards
153 retirement certificates

Highest pre-tax benefit participation rates
40% supplemental retirement plans
58% North Carolina Flex Dental
45% North Carolina Flex Vision
95% State Health Plan
56% North Carolina Flex AD&D Core

HR Communications
Intranet site
665 web pages
11,686 unique visitors
20,779 visits
Email news
34 email newsletters
156 articles
Policies and procedures
83 policies
532 pages

HR Training Provided
16 events with 470+ attendees
Deer Oaks EAP~5 events with 80+ attendees

Voluntary Shared Leave
49 recipients
9,467 total hours received
193.12 average hours received
4~663 range of hours received
383 donors
9,363 total hours donated
24.45 average hours donated
4~200 range of hours donated

Recruitment
341 job postings
63,689 total applicants
186 average applicants per posting
1,629 web hits per posting
53,169 applicant notices
LEGAL AND LEGISLATIVE SERVICES

Inquiries
5,000+ phone calls and emails from court officials each month

Legislative Tracking
During the 2011 – 13 legislative sessions, legal staff reviewed 3,946 bills for applicability and actively monitored, appeared on, summarized the effect of, drafted forms and procedures for, or sought amendments for 1,108.

ORGANIZATIONAL DEVELOPMENT

Recent Projects
Implementation of curriculum development process
Standardized course materials for foundational course offerings
Led development of the following courses:
Criminal Monies Owed as Civil and/or Criminal Judgments
Introduction to Juvenile
Using CCIS - CC: Essential Skills
Essential Skills for the New Estate Clerk Part 1: Probate and Qualification
Essential Skills for New Hearing Clerks: Incompetency Proceedings

PURCHASING

Quick Facts
~1,648 transactions processed monthly, including:
Open market solicitations through various bid processes
Purchase orders for goods and services
Supply orders via the Online Store
Printing requests submitted through the Online Store and in hardcopy format
~525 deliveries for supplies and equipment are made statewide monthly
~3,900 estimated number of boxes delivered per month (for a total weight exceeding 134,000 pounds)
~23,500 pounds of material from Judicial Branch offices statewide shredded monthly by NCAOC Warehouse personnel
~1,253,703 impressions generated monthly by NCAOC Print Shop for stationery and other printing requests

RESEARCH AND PLANNING

Contracts
15 counties and municipalities
91.75 FTEs
~$5.9 million

Grants
63 federal and local entities
84.38 FTEs
~$11 million

TECHNOLOGY SERVICES (as of July 31, 2013)

Criminal
1.07 million daily transactions
39.5 million criminal cases
4.9 million infraction cases

Civil
315,312 daily transactions
18 million civil cases

eCITATION
3,214 ecitations created each day
17,064 law enforcement officer users
401 law enforcement agency users

Email (January – June 2013)
58,015,581 inbound emails
14,747,564 outbound emails
44,305,640 inbound SPAM messages blocked
8,121 inbound email viruses prevented

NCAWARE
9.55 million processes (both served and unserved)
39,014 court and law enforcement users
786,180 processes available to be served
2,054 processes served each day

payNTicket®
353,532 citations disposed
More than $76 million collected
$80,876 collected daily (average)
Note: Monies collected are disbursed to state and local government agencies as directed by the North Carolina General Assembly.

Service Desk
82,763 call tickets logged in 2012
36,255 call tickets logged in 2013 as of June 30

Internet
159,587 hits on web calendars per day

Discovery Automation System (DAS)
113,873 case folders
505,755 documents stored
62.68 million estimated number of pages stored
Featuring

NEW COURTHOUSES THAT OPENED IN FISCAL YEAR 2012–13

CHATHAM COUNTY COURTHOUSE AND JUSTICE CENTER

Chatham County’s 1881 Neo-Classical courthouse was reopened in April after being destroyed by fire in March 2010. Hobbs Architects of Pittsboro focused on restoring the character and historic appearance of the courthouse, while including modernizations such as handicap access, internet service, and electrical wiring.

The Chatham County Justice Center offers environmentally sustainable features such as a geothermal heating and cooling system and natural lighting for courtrooms. Designed by Corley Redfoot Architects of Chapel Hill, the building is located south of the historic courthouse.

DURHAM COUNTY COURTHOUSE

Designed by O’Brien/Atkins Associates of Research Triangle Park, the new Durham County Courthouse and the Wake County Justice Center have achieved Leadership in Energy and Environmental Design (LEED) Gold and Silver ratings, respectively. Some of the features include charging stations in the parking garage for electric vehicles, a rainwater collection system, and 30 percent less water usage than a traditionally designed building of comparable size. The outdoor surfaces absorb less heat from the sun, reducing the “heat island” effect of large buildings in cities.