



FILING DEADLINES AND INFORMATION FOR SEI FORMS AND CANON 6 GIFT/INCOME REPORTS

The Judicial Standards Commission reminds all judges that deadlines are approaching for two important annual filings. First, judges must file their annual **Statements of Economic Interest (SEIs) by April 15** each year with the State Ethics Commission. The SEI form and helpful hints can be found at <https://ethics.nc.gov/seis>. Second, judges must file their annual **Canon 6 Gift and Income Report with their Clerks of Court by May 15 each year**. Questions about the SEI should be directed to the State Ethics Commission. Questions about the Canon 6 Report Form can be directed to the Judicial Standards Commission at (919) 831-3630.

Please do not file SEIs or Canon 6 Reports or copies with the Judicial Standards Commission.

Information on filling out the Canon 6 Report:

- **When to File:** no later than May 15 each year to cover reportable gifts and income from the prior calendar year
- **What to File:** The fillable Canon 6C Form. If you have no qualifying income/gifts to report for the prior year, please check the box on the form and file as directed below.
- **Where to File:**
 - Justices of the Supreme Court: with the Clerk of the Supreme Court
 - Judges of the Court of Appeals: with the Clerk of the Court of Appeals
 - Superior Court and District Court Judges (including special and emergency judges): with the Clerk of the Superior Court of the county in which the judge resides
- **What to Report:**
 - **OUTSIDE INCOME OVER \$2000 PER SOURCE IN THE PRIOR CALENDAR YEAR:**
 - Canon 6C requires judges to report any outside income of \$2000 or more. You are required to identify: (1) the name of the individual or entity from whom you received the outside income and (2) a description of the activity that generated the outside income. For example, if you are a compensated adjunct professor, you must identify the name of the school and describe the nature of your outside

work (adjunct teaching). Similarly, if you are a landlord and receive income from a tenant, you must identify the name of the tenant and describe the source (e.g., rental income for commercial property). For new judges, only report outside income received after becoming a judge.

- **GIFTS VALUED AT \$500 OR MORE RECEIVED IN THE PRIOR YEAR**
 - Judges and members of the judge's family residing in the judge's home are prohibited under Canon 5C(4)(c) from accepting a gift from a party presently appearing before the judge. A party would include a lawyer, witness or other person presently appearing before the judge at the time the gift is made.
 - Judges and their family members may receive gifts from other persons or entities, but the judge ***must disclose*** any gift with a value of \$500 or more given to the judge or a member of the judge's family residing in the judge's household when given in circumstances indicating the gift is intended for the judge in his or her professional capacity. As set forth in Canon 5C(4)(a), this includes but is not limited to: gifts incident to a public testimonial to the judge; books supplied by publishers on a complimentary basis for official or academic use; invitations to the judge and the judge's spouse to attend bar-related functions, a cultural or historical activity, or events related to the administration of justice or the economic, educational, legal, or governmental system.
 - Judges ***do not need to disclose*** on the Canon 6 form personal gifts received under Canon 5C(4)(b) as follows:
 - a gift given as part of ordinary social hospitality;
 - a gift, favor or loan from a friend or relative;
 - a wedding, engagement or other special occasion gift;
 - a loan from a lending institution in its regular course of business on the same terms generally available to persons who are not judges;
 - a scholarship or fellowship awarded on the same terms applied to other applicants

Canon 6 Report of Gifts and Quasi-Judicial or
Extra-Judicial Income Sources*

Note: List only sources or activities from which the income received was more than \$2,000.00 in the calendar year for which this report is made and gifts in excess of \$500.

If you have no extra income or gifts to report, check here:

Name of Source	Nature of Income/Activity

Calendar Year for Which
Report Filed

Typed Name of Judge, Justice, or
Commissioner

Date of Report

Signature of Judge, Justice, or
Commissioner

*Required Pursuant to Canons 5C(4)(c) and 6C of the N.C. Code of Judicial Conduct